

FORM PTO-1390 (Modified)
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES

SOEL/16

DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/030525

INTERNATIONAL APPLICATION NO.
PCT/JP00/02914INTERNATIONAL FILING DATE
2 MAY 2000 (02.05.2000)PRIORITY DATE CLAIMED
7 MAY 1999 (07.05.1999)

TITLE OF INVENTION

METHOD OF MANUFACTURING SEMICONDUCTOR DEVICE

APPLICANT(S) FOR DO/EO/US
TAMITANI, ET AL.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). (unexecuted)
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☐ Certificate of Mailing by Express Mail
23. ☒ Other items or information: Letter of Transmittal

ION NO. (IF KNOWN, SEE 37)
10/03052

PCT/JP00/02914

SOEL/0016**CALCULATIONS PTO USE ONLY**

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- SEND ALL CORRESPONDENCE TO:**

William B. Pitter
SIGNATURE

NAME _____

REGISTRATION NUMBER

DATE _____

10/030525

Patent
Atty. Dkt. N . SOE/0016

JC13 Rec'd PCT/PTO 07 NOV 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tamitani, et al.

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Group Art Unit: Unknown

Serial No.: Unassigned

Examiner: Unknown

Filed: Herewith

For: Method of Manufacturing
A Semiconductor Device

BOX NEW APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF EXPRESS MAILING
37 C.F.R. 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EV044734331US, in an envelope addressed to: BOX PCT, Assistant Commissioner for Patents, Washington, D.C. 20231.


7 NOV 01
Date Signature

LETTER OF TRANSMITTAL

Enclosed for filing are the following documents:

- 1) Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371;
- 2) Combined Declaration and Power of Attorney (unexecuted);
- 3) First page of WIPO Publication WO 00/68987;
- 4) International Search Report;
- 5) Verified translation of application;
- 6) Translation of Amendments to Claims Under Article 34;
- 7) Information Disclosure Statement; and,
- 8) Return postcard.

Respectfully submitted,



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